

PATHOPHYSIOLOGY AND PREVENTION OF NECROTISING ENTEROCOLITIS

Daynia Ballot

Division of neonatology

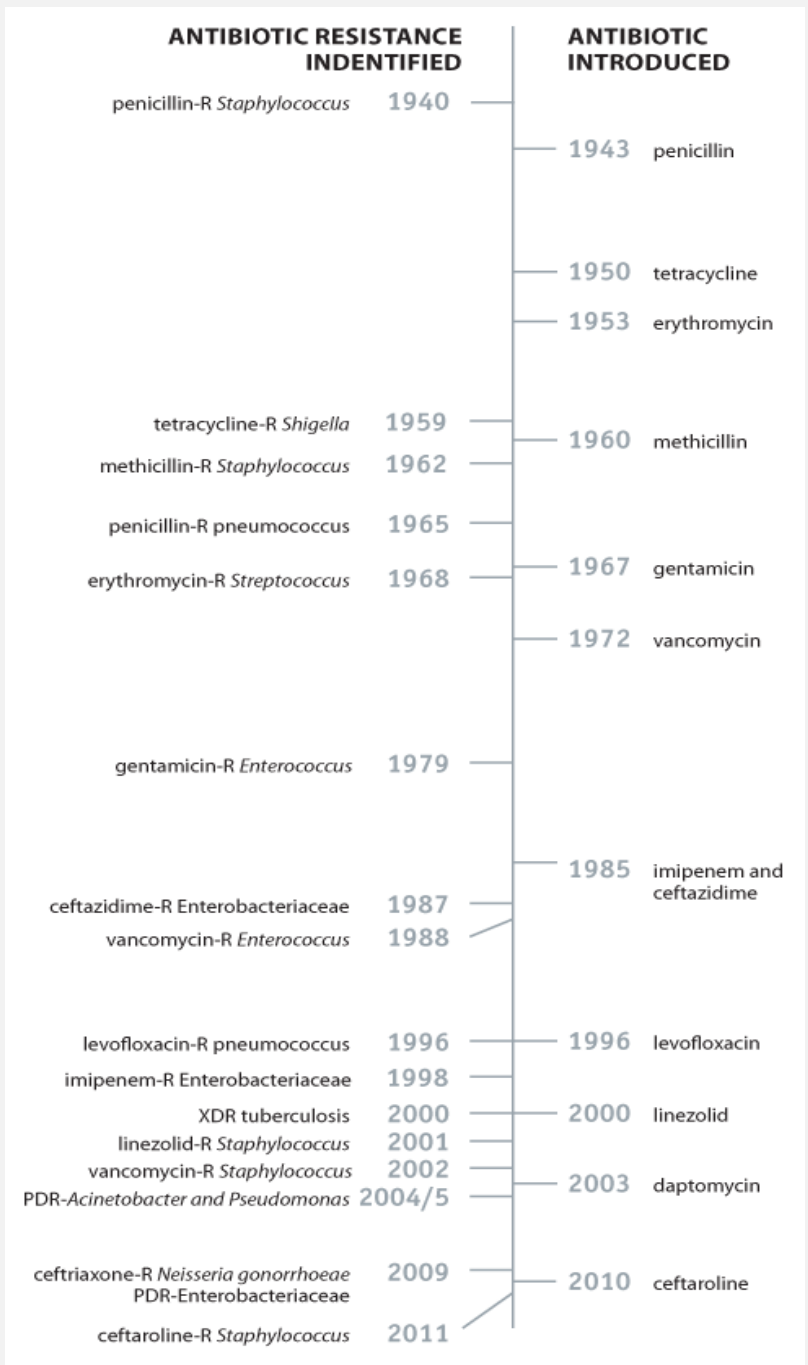
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HISTORY LESSON

- 1796 – Edward Jenner vaccinated a milkmaid against smallpox with cowpox
- 1818 – Semmelweiss – handwashing to decrease puerperal fever mortality
- 1861 – Louis Pasteur – Germ theory
- 1928 - Alexander Fleming – Penicillin

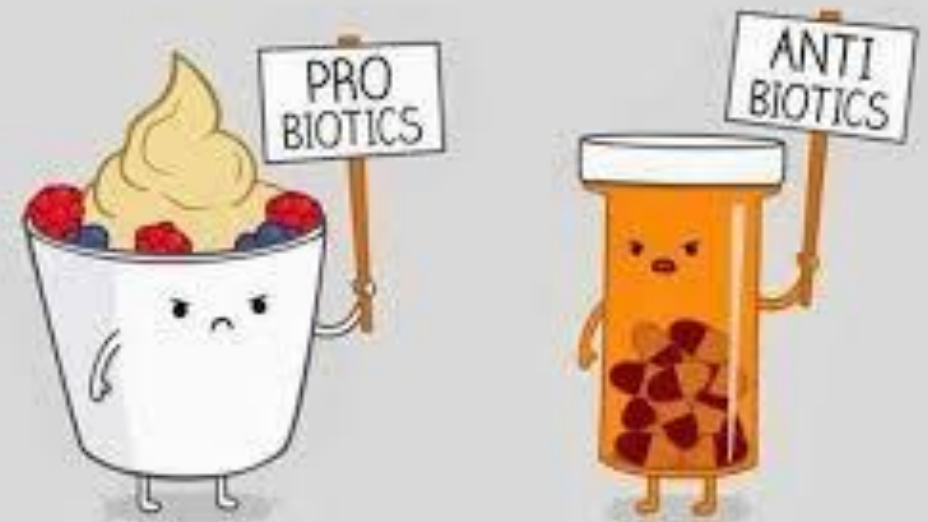




PROBIOTICS



Could they save us?



INTRODUCTION

- NEC – acute intestinal ischaemia and necrosis
- 5% neonates < 1500 grams, 10% neonates < 1000 grams
- Mortality rate > 20%
- Increased risk of LOS, poor growth, BPD, ROP, prolonged hospitalisation
- Long term morbidity – growth restriction and neurodevelopmental delay

INTRODUCTION

- Pathophysiology of NEC not yet fully understood
- Classic causes - Prematurity, micro-organisms and enteral feeds.
 - NEC cannot be produced in germ free animals
- Immature motility and absorptive function of the GIT
- Innate vs adaptive immunity
- Dysbiosis
- NEC probably not one disease
 - Preterm infants- immaturity
 - Near term/term infants – multi-factorial



FETAL GIT

- Mucosal innate immunity (IgM, B cells) present at about 15 weeks and Peyer's patches at 30 weeks gestation
- Epithelial tight junctions in gut present at 10 weeks
- Goblet cells which secrete mucus are also present at 10 weeks
 - Mucus has two distinct layers – the outer layer which prevents bacteria from reaching the mucosal, the inner layer provides scaffolding for antimicrobial peptides and Secretory IgA
- Paneth cells (at the base of intestinal crypts) are present at 13 weeks and produce antimicrobial proteins
 - Enterocytes, macrophages and neutrophils also produce AMPs

MICROBIOME

- Microbial cells outnumber human cells
 - Bacterial genes outnumber human genes 100fold
- Host-microbe interactions – prevention of infection and development of immunity
- Pattern of preterm neonate's microbiome changes with postmenstrual age - increasing anaerobes indicates a mature microbiome
- Disruption of the neonatal microbiome implicated in asthma, celiac disease, type I diabetes and obesity

FETAL GIT

- Colonisation of the neonatal gut commences in utero
- Amniotic fluid is not sterile, the fetus is continually exposed to maternal GIT and GUT microbes
 - Fetus bathed in and ingests amniotic fluid
 - Live microbes demonstrated in meconium
- The first 1000 days most important in establishing the gut microbiome, which has lifelong health implications

GUT IMMUNITY

- At birth, transition from innate to adaptive immunity, both locally in the GIT and systemically
 - Innate immunity – “first responders” – cells and their receptors which respond quickly to microbes
 - Adaptive immune system requires prior antigenic exposure
- Preterm infant has decreased mucin production and “weak” tight junctions which results in invasion of mucosa by organisms, causing an inflammatory response

PRETERM GIT

- Preterm GIT is hyper-inflammatory with an increased risk of NEC
- Maternal conditions that stimulate fetal intestinal inflammatory cascade include:
 - Maternal hypertension
 - Maternal infections
 - Abnormal placental blood flow
 - Substance abuse (cocaine)
 - Choriaomnionitis with vasculitis – 2.5 fold chance of developing NEC
- Role of adaptive immunity in NEC not well understood - ? Role of T regulatory cells in preterm gut
 - Limit intestinal injury and inflammation by enhancing adaptive immunity

TLR4

- In NEC there is impaired signalling in response to colonisation of the neonatal gut
- TLR4 is pro-inflammatory and TLR9 is anti-inflammatory (imbalance in NEC)
 - TLR4 signalling regulates the balance between injury and repair in the newborn intestine
 - Upregulation of TLR4 results in decreased enterocyte proliferation and migration, enterocyte apoptosis and decreased repair of the neonatal intestine

TLR 4

- TLR 4 expression increases with gestational age until term, then decreases significantly and is down regulated at a few weeks post-natally
- Continued TLR4 numbers and expression in preterm infants, which are activated by both commensal and pathogenic organisms, ?major role in NEC
- NEC – failure of downregulation of TLR4 signalling to become tolerant to intestinal microflora
- Inhibition of TLR 4 is a potential pathway for treatment / prevention of NEC
 - TLR4 knockout mice are protected from NEC

EPIDERMAL GROWTH FACTOR

- EGF found in amniotic fluid
- Produced by salivary glands, Brunner's glands in duodenum, Paneth cells and macrophages
- EGF – important for intestinal cell survival, division and migration
- Decreased cord EGF levels predictive for NEC in VLBW infants
- Impaired epithelial healing due to low levels of EGF may be important in NEC



MICROBIAL DYSBIOSIS AND NEC

- Claud and Walker proposed NEC was caused by inappropriate colonisation of the preterm GIT, rather than due to a single pathogen
 - NEC - a result of microbial dysbiosis and an exaggerated secondary inflammatory response
 - Microbe induced neutrophil activation, causes inflammatory mediator release, vasoconstriction and disruption of the intestinal barrier
- GIT of preterm infants – fewer bacterial species, less diversity and increased proportion of pathogens
 - Changes in fecal bacteria prior to onset of NEC
 - Increase in *Enterobacter*, *E.Coli*, *Firmicutes*, *Proteobacteria* with a decrease in *Bifidobacter*



FACTORS AFFECTING THE MICROBIOME

- **Broad spectrum antibiotic use**
 - Decreased microbial diversity
 - Suppressed growth of *Bifidobacter*
 - Negative impact on both innate and adaptive immunity
 - No antibiotics / shorter duration of antibiotics decreases the risk of NEC
- **Probiotics**
 - Meta-analysis of >2000 infants showed that probiotics decreased the risk of NEC by 65% (NNT 25)
 - Use of both *Bifidobacter* and *Lactobacillus* decreased both incidence and mortality due to NEC

FACTORS AFFECTING THE MICROBIOME

- **Acid base status of the GIT**
 - H2 blockers, antacids, proton pump inhibitors all associated with increased risk of NEC
- **Formula feeding**
- **Caesarean section delivery**
 - No colonisation with maternal GUT organisms
- **Nil per os**
 - Intestinal atrophy, and increased translocation of microbes (risk of NEC and sepsis)

FACTORS AFFECTING THE MICROBIOME: BREASTMILK

- Secretory IgA in breastmilk
 - Reacts with maternal microbiome to prevent adherence and penetration of specific bacteria to neonatal mucosa
 - Influences neonate's intestinal microbiome
 - Prevents NEC
- Growth factors, cytokines, immune modulators (IL6, TNF α , IL10, TGF B, lysozyme) are all found in breastmilk
 - ? Role in preventing intestinal epithelial cell damage in preterm neonates with immature immune system
- “Tailor Made” organisms



OTHER RISK FACTORS

- **Hypoxia –ischaemia**
 - VLBW neonates who require delivery room resuscitation at increased risk of NEC
- **Blood products**
 - Blood transfusion - More frequent in neonates with AB blood group - ?Vulnerable to serum antibodies in blood products
 - High dose immunoglobulin for haemolytic disease
- **Genetic factors**
 - Several genes have been implicated in affecting outcome or severity of disease

Prematurity

Formula feeding

Antibiotics

Delivery Method

Barrier dysfunction

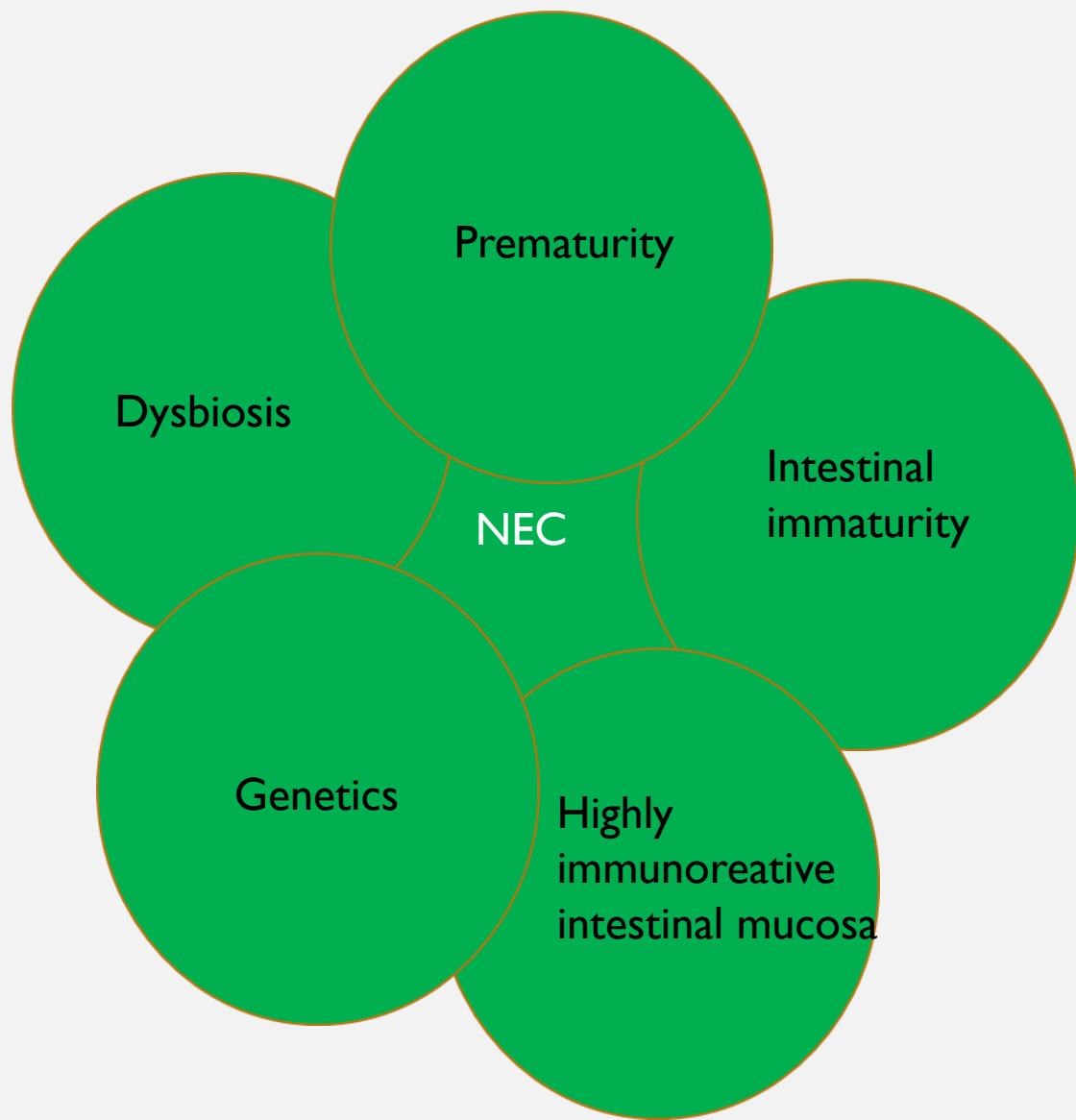
DYSBIOSIS

Overgrowth of
proteobacteria and
fermicutes

Altered growth factors /Cytokines

Bacterial translocation
Inflammation / necrosis
Decreased enterocyte migration

Necrotising enterocolitis



Prematurity

Dysbiosis

Intestinal
immaturity

NEC

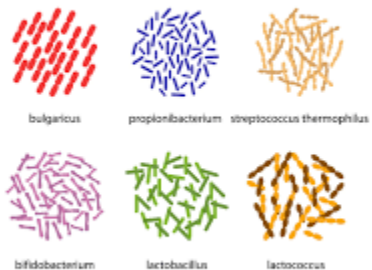
Genetics

Highly
immunoreactive
intestinal mucosa

PREVENTION OF NEC

- **Antenatal steroids**
 - NEC decreased by 50%
- **Exclusive breastfeeding (NNT =10)**
 - If mother's milk unavailable, use pasteurised donor milk
 - Preterm formula containing probiotics
 - Trophic feeding – evidence inconclusive, but appears to be safe
 - Start as early as possible
- **Promoting and preserving healthy gut flora**
 - Probiotics
 - Prebiotics still under investigation

Probiotics



PREVENTION OF NEC

- **Standardized feeding protocol**
 - NEC reduced up to 85% in VLBW neonates with the use of SFP, regardless of the protocol
- **Limit antimicrobial use in the early neonatal period**
 - Positive impact on the neonatal microbiome
 - 40% increased risk of NEC in neonates treated with 7 days vs 2 days of empiric antibiotic therapy for suspected EOS



CONCLUSION

- Dysbiosis and inflammation in the preterm GIT important in the pathogenesis of NEC
- The neonatal microbiome is important
- Prevention
 - Breastfeeding
 - Don't abuse antibiotics

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**Thank you
for listening**

